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**TOP FRONTIER**  
INVESTMENT HOLDINGS, INC.

## Top Frontier Investment Holdings, Inc. TFHI

### PSE Disclosure Form 17-18 - Other SEC Forms/Reports/Requirements

<b>Form/Report Type</b>	Compliance Report with Corporate Governance Training Requirement
<b>Report Period/Report Date</b>	Oct 25, 2021

#### Description of the Disclosure

Please see attached letter to the Exchange, relating to the Corporate Governance Seminar held on 15 October 2021, which was attended by the directors and officers of Top Frontier Investment Holdings, Inc. named therein.

#### Filed on behalf by:

<b>Name</b>	Irene Cipriano
<b>Designation</b>	Assistant Corporate Secretary



October 25, 2021

**The Philippine Stock Exchange, Inc.**

Disclosure Department  
6th Floor, Philippine Stock Exchange Tower  
28th Street, corner 5th Avenue  
Bonifacio Global City, Taguig City

Attention: **Janet A. Encarnacion**  
Head, Disclosure Department

Re: Compliance with the Corporate Governance  
Training Requirement

In compliance with SEC Memorandum Circular No. 20, Series of 2013, the following directors and officers of Top Frontier Investment Holdings, Inc. attended the Corporate Governance Training Seminar conducted by Risks, Opportunities, Assessment and Management (ROAM), Inc.:

No.	Name	Position	Date
1.	Consuelo M. Ynares – Santiago	Independent Director	October 15, 2021
2.	Minita V. Chico – Nazario	Independent Director	October 15, 2021
3.	Teresita J. Leonardo – De Castro	Independent Director	October 15, 2021
4.	Virgilio S. Jacinto	Corporate Secretary and Compliance Officer	October 15, 2021
5.	Maria Rosario B. Balanza	Investment Relations Officer & Data Protection Officer	October 15, 2021
6.	Ramon R. Bantigue	Internal Audit Group Head	October 15, 2021

For your information and reference, attached are copies of the Certificates of Attendance.

Very truly yours,

A handwritten signature in black ink, appearing to be "V. Jacinto", written over a light gray rectangular background.

**Virgilio S. Jacinto**  
Corporate Secretary & Compliance Officer



Risks, Opportunities, Assessment and  
Management (ROAM), Inc.

awards this

## **CERTIFICATE OF COMPLETION**

to

*Consuelo M. Ynares-Santiago*

for having completed the webinar on

## **CORPORATE GOVERNANCE**

held on 15 October 2021 via Zoom Meeting

**Benjamin I. Espiritu, Ph.D.**  
President



Risks, Opportunities, Assessment and  
Management (ROAM), Inc.

awards this

## **CERTIFICATE OF COMPLETION**

to

*Minita V. Chico-Nazario*

for having completed the webinar on

## **CORPORATE GOVERNANCE**

held on 15 October 2021 via Zoom Meeting

**Benjamin I. Espiritu, Ph.D.**  
President



Risks, Opportunities, Assessment and  
Management (ROAM), Inc.

awards this

## **CERTIFICATE OF COMPLETION**

to

***Teresita J. Leonardo-De Castro***

for having completed the webinar on

## **CORPORATE GOVERNANCE**

held on 15 October 2021 via Zoom Meeting

**Benjamin I. Espiritu, Ph.D.**  
President



Risks, Opportunities, Assessment and  
Management (ROAM), Inc.

awards this

## **CERTIFICATE OF COMPLETION**

to

*Virgilio S. Jacinto*

for having completed the webinar on

## **CORPORATE GOVERNANCE**

held on 15 October 2021 via Zoom Meeting

**Benjamin I. Espiritu, Ph.D.**  
President



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## **CERTIFICATE OF COMPLETION**

to

*Maria Rosario B. Balanza*

for having completed the webinar on

## **CORPORATE GOVERNANCE**

held on 15 October 2021 via Zoom Meeting

**Benjamin I. Espiritu, Ph.D.**  
President



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Management (ROAM), Inc.

awards this

## **CERTIFICATE OF COMPLETION**

to

***Ramon R. Bantigue***

for having completed the webinar on

## **CORPORATE GOVERNANCE**

held on 15 October 2021 via Zoom Meeting

**Benjamin I. Espiritu, Ph.D.**  
President