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#### SECURITIES AND EXCHANGE COMMISSION

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Company Information

SEC Registration No. CS200803939

Company Name TOP FRONTIER INVESTMENT HOLDINGS INC.

Industry Classification Financial Holding Company Activities

Company Type Stock Corporation

#### **Document Information**

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Virgilio S. Jacinto Contact Person													(02) 632-3145 Company Telephone Number							
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November 07, 2014

#### SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila

Attention:

Atty. Justina F. Callangan

Director - Corporate Governance and Finance Department

Re:

Compliance with the Corporate Governance

Training Requirement

#### Gentlemen:

We advise that, in compliance with SEC Memorandum Circular No. 20, Series of 2013, the following directors of Top Frontier Investment Holdings, Inc. have attended a corporate governance training seminar conducted by Risks, Opportunities, Assessment and Management (ROAM), Inc. on the dates indicated below:

#### Name of Directors

#### Date Attended

1. Ramon S. Ang

2. Minita V. Chico-Nazario

November 06, 2014

November 06, 2014

For your information and reference, attached are copies of the certificates of attendance of the said directors.

Very truly yours,

Virgilio S. Jacinto Corporate Secretary



## Risks, Opportunities, Assessment and Management (ROAM), Inc.

awards this

## **CERTIFICATE OF COMPLETION**

to

Ramon S. Ang

for having completed the seminar on

## CORPORATE GOVERNANCE

held on November 6, 2014 at Executive Dining Room, San Miguel Corporation, Mandaluyong City

Behjamin I. Espiritu, Ph.D.

Director



Risks, Opportunities, Assessment and Management (ROAM), Inc.

awards this

## **CERTIFICATE OF COMPLETION**

to

# Minita V. Chico-Nazario

for having completed the seminar on

## **CORPORATE GOVERNANCE**

held on November 6, 2014 at Executive Dining Room, San Miguel Corporation, Mandaluyong City

Benjamin I. Espiritu, Ph.D.

Director