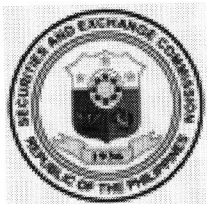




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**SECURITIES AND EXCHANGE COMMISSION**

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines
Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

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Company Name TOP FRONTIER INVESTMENT HOLDINGS INC.
Industry Classification Financial Holding Company Activities
Company Type Stock Corporation

Document Information

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5 th F l o o r , E N Z O B l d g .

3 9 9 S e n . G i l P u y a t

A v e . , M a k a t i C i t y

(Business Address: No. Street City/Town/Province)

Irene M. Cipriano

Contact Person

(02) 632-3889

Company Telephone Number

1 2

Month

3 1

Day

Compliance Report on Corporate
Governance Training Seminar

FORM TYPE

0 7

Month

0 9

Day

Annual Meeting

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total Amount of Borrowings

Total No. of Stockholders

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

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November 22, 2018

SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex
Pasay City, Metro Manila

Attention: **Atty. Rachelle G. Remalante**
Officer in Charge
Corporate Governance and Finance Department

Re: **Compliance with the Corporate Governance
Training Requirement**

Gentlemen:

We advise that, in compliance with SEC Memorandum Circular No. 20, Series of 2013, the following officers of Top Frontier Investment Holdings, Inc. have attended a corporate governance training seminar conducted by Risks, Opportunities, Assessment and Management (ROAM), Inc., on the date indicated below:

No.	Name	Position	Date
1.	Bella O. Navarra	Chief Finance Officer	November 21, 2018
2.	Virgilio S. Jacinto	Corporate Secretary and Compliance Officer	November 21, 2018
3.	Ramon R. Bantigue	Internal Audit Group Head	November 21, 2018

For your information and reference, attached are copies of their respective Certificates of Attendance.

Very truly yours,

Irene M. Cipriano
Assistant Corporate Secretary



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

Bella O. Navarra

for having completed the seminar on

CORPORATE GOVERNANCE

held on 21 November 2018 at
SMC Head Office, San Miguel Avenue, Mandaluyong City

Benjamin I. Espiritu, Ph.D.
President



Risks, Opportunities, Assessment and
Management (**ROAM**), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Atty. Virgilio S. Jacinto

for having completed the seminar on

CORPORATE GOVERNANCE

held on 21 November 2018 at
SMC Head Office, San Miguel Avenue, Mandaluyong City

Benjamin I. Espiritu, Ph.D.
President



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

Ramon R. Bantigue

for having completed the seminar on

CORPORATE GOVERNANCE

held on 21 November 2018 at
SMC Head Office, San Miguel Avenue, Mandaluyong City

Benjamin I. Espiritu, Ph.D.
President