



101152014003045



## SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines  
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### Company Information

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**SEC Registration No.** CS200803939  
**Company Name** TOP FRONTIER INVESTMENT HOLDINGS INC.  
**Industry Classification** Financial Holding Company Activities  
**Company Type** Stock Corporation

### Document Information

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**Document ID** 101152014003045  
**Document Type** Initial Statement of Beneficial Ownership  
**Document Code** 23A  
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**Department** CFD  
**Remarks** FERDINAND K. CONSTANTINO

COVER SHEET

C S 2 0 0 8 0 3 9 3 9

S. E. C. Registration Number

T O P F R O N T I E R

I N V E S T M E N T

H O L D I N G S I N C .

(Company's Full Name)

5 th F l o o r , E N Z O B l d g .

S e n . G i l J . P u y a t

A v e n u e , M a k a t i C i t y

(Business Address: No. Street City/Town/Province)

Aurora T. Calderon

Contact Person

632-3307

Company Telephone Number

1 2

Month

3 1

Day

SEC Form 23-A

FORM TYPE

0 7

Month

0 9

Day

Annual Meeting

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

\_\_\_\_\_

LCU

Document I. D.

\_\_\_\_\_

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes

**SECURITIES AND EXCHANGE COMMISSION  
Metro Manila, Philippines**

**FORM 23-A**

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 23 of the Securities Regulation Code

<b>1. Name and Address of Reporting Person</b> <b>CONSTANTINO, FERDINAND K.</b> <small>(Last) (First) (Middle)</small> <b>c/o 40 San Miguel Avenue</b> <small>(Street)</small> <b>Mandaluyong City</b> <small>(City) (Province) (Postal Code)</small>		<b>2. Date of Event Requiring Statement</b> <small>(Month/Day/Year)</small> <b>1/6/2014</b> <b>Date of the SEC Order of Registration</b> <b>000-119-123-901</b> <b>3. Tax Identification Number</b> <b>000-119-123-901</b> <b>4. Citizenship</b> <b>Filipino</b>	<b>5. Issuer Name and Trading Symbol</b> <b>Top Frontier Investment Holdings, Inc. (TFHI)</b> <b>6. Relationship of Reporting Person to Issuer</b> <small>(Check all applicable)</small> <input checked="" type="checkbox"/> Director <span style="float:right">10% Owner</span> <input type="checkbox"/> Officer <span style="float:right">Other</span> <small>(give title below) (specify below)</small>		<b>7. If Amendment,</b> <small>Date of Original</small> <small>(Month/Day/Year)</small>
<b>Table 1 - Equity Securities Beneficially Owned</b>					
<b>1. Class of Equity Security</b>	<b>2. Amount of Securities Beneficially Owned</b>	<b>3. Percentage (%) Held</b>	<b>4. Ownership Form: Direct (D) or Indirect (I)</b>	<b>5. Nature of Indirect Beneficial Ownership</b>	
Common	14,850	0.00%	(D)		

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.  
(Print or Type Responses)

(Over)

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

**FORM 23-A** (continued) Table II - Derivative Securities Beneficially Owned (e.g., warrants, options, convertible securities)

1. Derivative Security	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Equity Securities Underlying the Derivative Security		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security Direct (D) or Indirect (I) *	6. Nature of Indirect Beneficial Ownership
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

Explanation of Responses:

Intentional misstatements or omissions of facts is a Criminal Violations under the SRC.

FERDINAND K. CONSTANTINO

IAN 15 2014

Date

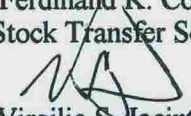
Note: File five (5) copies of this form, one of which must be manually signed by the reporting person, otherwise, attach a copy of a Special Power of Attorney authorizing such other person to sign in behalf of the reporting person.

Attach additional sheets if space provided is insufficient.

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(Print or Type Responses)

For Ferdinand K. Constantino  
By: SMC Stock Transfer Service Corporation

  
Virgilio S. Jacinto  
President

  
Enrique L. Yusingco  
VP, General Manager & Compliance Officer