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SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines
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Company Information

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Company Name TOP FRONTIER INVESTMENT HOLDINGS INC.
Industry Classification Financial Holding Company Activities
Company Type Stock Corporation

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T O P F R O N T I E R

I N V E S T M E N T H O L D I N G S

I N C .

(Company's Full Name)

5 th F l o o r , E N Z O B l d g .

3 9 9 S e n . G i l P u y a t

A v e . , M a k a t i C i t y

(Business Address: No. Street City/Town/Province)

Virgilio S. Jacinto
Contact Person

(02) 632-3145
Company Telephone Number

1 2
Month

3 1
Day

Compliance Report
FORM TYPE

0 7
Month

0 9
Day

Annual Meeting

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Domestic

Foreign

Total Amount of Borrowings

To be accomplished by SEC Personnel concerned

File Number

LCU

Document I. D.

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes



November 15, 2016

SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills,
Mandaluyong City, Metro Manila

Attention: **Atty. Justina F. Callangan**
Director
Corporate Governance and Finance Department

Re: Compliance with the Corporate Governance
Training Requirement

Gentlemen:

We advise that, in compliance with SEC Memorandum Circular No. 20, Series of 2013, the following directors and officers of Top Frontier Investment Holdings, Inc. have attended the corporate governance training seminar conducted by Risks, Opportunities, Assessment and Management (ROAM), Inc. on the date indicated below:

	<u>Name</u>	<u>Designation</u>	<u>Date Attended</u>
1.	Iñigo U. Zobel	Director and Chairman	November 11, 2016
2.	Consuelo M. Ynares-Santiago	Independent Director	November 11, 2016
3.	Bella O. Navarra	Chief Finance Officer	November 11, 2016
4.	Virgilio S. Jacinto	Corporate Secretary and Compliance Officer	November 11, 2016
5.	Ma. Rosario B. Balanza	Investment Relations Officer	November 11, 2016
6.	Irene M. Cipriano	Assistant Corporate Secretary	November 11, 2016

For your information and reference, attached are copies of their respective Certificates of Attendance.

Very truly yours,

Virgilio S. Jacinto
Corporate Secretary
and Compliance Officer



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

Iñigo Zobel

for having completed the seminar on

CORPORATE GOVERNANCE

held on 11 November 2016 at
SMC Head Office Complex, San Miguel Avenue, Mandaluyong City

Benjamin I. Espiritu, Ph.D.
President



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

Justice Consuelo M. Ynares-Santiago

for having completed the seminar on

CORPORATE GOVERNANCE

held on 11 November 2016 at
SMC Head Office Complex, San Miguel Avenue, Mandaluyong City

Benjamin I. Espiritu, Ph.D.
President



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

Bella O. Navarra

for having completed the seminar on

CORPORATE GOVERNANCE

held on 11 November 2016 at
SMC Head Office Complex, San Miguel Avenue, Mandaluyong City

Benjamin I. Espiritu, Ph.D.
President



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

Virgilio S. Jacinto

for having completed the seminar on

CORPORATE GOVERNANCE

held on 11 November 2016 at
SMC Head Office Complex, San Miguel Avenue, Mandaluyong City

Benjamin I. Espiritu, Ph.D.
President



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

Maria Rosario B. Balanza

for having completed the seminar on

CORPORATE GOVERNANCE

held on 11 November 2016 at
SMC Head Office Complex, San Miguel Avenue, Mandaluyong City


Benjamin I. Espiritu, Ph.D.
President



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

Atty. Irene M. Cipriano

for having completed the seminar on

CORPORATE GOVERNANCE

held on 11 November 2016 at
SMC Head Office Complex, San Miguel Avenue, Mandaluyong City

Benjamin I. Espiritu, Ph.D.
President