



111102016000286



## SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines  
Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

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Company Information

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SEC Registration No. CS200803939  
Company Name TOP FRONTIER INVESTMENT HOLDINGS INC.  
Industry Classification Financial Holding Company Activities  
Company Type Stock Corporation

Document Information

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S. E. C. Registration Number

T O P F R O N T I E R

I N V E S T M E N T H O L D I N G S

I N C .

(Company's Full Name)

5 th F l o o r , E N Z O B l d g .

3 9 9 S e n . G i l P u y a t

A v e . , M a k a t i C i t y

(Business Address: No. Street City/Town/Province)

Virgilio S. Jacinto
Contact Person

(02) 632-3145
Company Telephone Number

1 2
Month

3 1
Day

Compliance Report
FORM TYPE

0 7
Month

0 9
Day

Annual Meeting

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Total Amount of Borrowings

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document I. D.

Cashier

STAMPS

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November 09, 2016

**SECURITIES AND EXCHANGE COMMISSION**

SEC Building, EDSA, Greenhills,  
Mandaluyong City, Metro Manila

Attention: **Atty. Justina F. Callangan**  
Director  
Corporate Governance and Finance Department

Re: Compliance with the Corporate Governance  
Training Requirement

Gentlemen:

We advise that, in compliance with SEC Memorandum Circular No. 20, Series of 2013, the following directors of Top Frontier Investment Holdings, Inc. have attended a corporate governance training seminar conducted by Risks, Opportunities, Assessment and Management (ROAM), Inc. on the date indicated below:

	<u>Name</u>	<u>Designation</u>	<u>Date Attended</u>
1.	Ramon S. Ang	Director, President & CEO	November 07, 2016
2.	Ferdinand K. Constantino	Director	November 07, 2016

For your information and reference, attached are copies of their respective Certificates of Attendance.

Very truly yours,

**Virgilio S. Jacinto**  
Corporate Secretary  
and Compliance Officer



Risks, Opportunities, Assessment and  
Management (ROAM), Inc.

awards this

## CERTIFICATE OF COMPLETION

to

*Ramon S. Ang*

for having completed the seminar on

## CORPORATE GOVERNANCE

held on 7 November 2016 at  
SMC Head Office Complex, San Miguel Ave., Mandaluyong City

**Benjamin I. Espiritu, Ph.D.**  
President



Risks, Opportunities, Assessment and  
Management (ROAM), Inc.

awards this

## CERTIFICATE OF COMPLETION

to

*Ferdinand K. Constantino*

for having completed the seminar on

## CORPORATE GOVERNANCE

held on 7 November 2016 at  
SMC Head Office Complex, San Miguel Ave., Mandaluyong City

  
Benjamin I. Espiritu, Ph.D.  
President