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#### SECURITIES AND EXCHANGE COMMISSION

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Company Information

SEC Registration No. C

SEC Registration No.

CS200803939

Company Name

TOP FRONTIER INVESTMENT HOLDINGS INC.

Industry Classification

Financial Holding Company Activities

Company Type

Stock Corporation

#### **Document Information**

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December 13, 2017

#### SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City, 1307

Attention:

Atty. Rachelle G. Remalante

Officer in Charge

Corporate Governance and Finance Department

Re:

Compliance with the Corporate Governance

Training Requirement

#### Gentlemen:

We advise that, in compliance with SEC Memorandum Circular No. 20, Series of 2013, the following director and officer of Top Frontier Investment Holdings, Inc. have attended the corporate governance training seminar conducted by Risks, Opportunities, Assessment and Management (ROAM), Inc. on the date indicated below:

	<u>Name</u>	<u>Designation</u>	Date Attended			
1.	Iñigo U. Zobel	Director & Chairman Director, President & CEO Director Chief Finance Officer Investment Relations Officer Assistant Corporate Secretary	December 11, 2017			
2.	Ramon S. Ang		December 11, 2017			
3.	Ferdinand K. Constantino		December 11, 2017			
4.	Bella O. Navarra		December 11, 2017			
5.	Maria Rosario B. Balanza		December 11, 2017			
6.	Irene M. Cipriano		December 11, 2017			

For your information and reference, attached are copies of their respective Certificates of Attendance.

Very truly yours,

Virgilio \$. Jacinto Corporate Secretary and Compliance Officer



awards this

### **CERTIFICATE OF COMPLETION**

to

## Iñigo Zobel

for having completed the seminar on

### **CORPORATE GOVERNANCE**

held on 11 December 2017 at SMC Head Office, San Miguel Avenue, Mandaluyong City

Benjamin I. Espiritu, Ph.D.



awards this

### **CERTIFICATE OF COMPLETION**

to

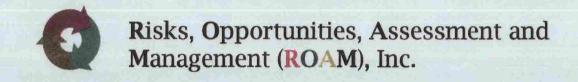
## Ramon S. Ang

for having completed the seminar on

### **CORPORATE GOVERNANCE**

held on 11 December 2017 at SMC Head Office, San Miguel Avenue, Mandaluyong City

Benjamin I. Espiritu, Ph.D.



awards this

### **CERTIFICATE OF COMPLETION**

## Ferdinand K. Constantino

for having completed the seminar on

### **CORPORATE GOVERNANCE**

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Benjamin I. Espiritu, Ph.D.



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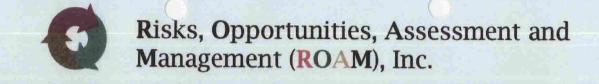
## Bella O. Navarra

for having completed the seminar on

### **CORPORATE GOVERNANCE**

held on 11 December 2017 at SMC Head Office, San Miguel Avenue, Mandaluyong City

Benjamin I. Espiritu, Ph.D.



awards this

### **CERTIFICATE OF COMPLETION**

to

# Irene M. Cipriano

for having completed the seminar on

### **CORPORATE GOVERNANCE**

held on 11 December 2017 at SMC Head Office, San Miguel Avenue, Mandaluyong City

Benjamin I. Espiritu, Ph.D.



awards this

### **CERTIFICATE OF COMPLETION**

to

## Ma. Rosario B. Balanza

for having completed the seminar on

### **CORPORATE GOVERNANCE**

held on 11 December 2017 at SMC Head Office, San Miguel Avenue, Mandaluyong City

Benjamin I. Espiritu, Ph.D.