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SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines
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Company Information

SEC Registration No. CS200803939
Company Name TOP FRONTIER INVESTMENT HOLDINGS INC.
Industry Classification Financial Holding Company Activities
Company Type Stock Corporation

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S. E. C. Registration Number

T O P F R O N T I E R

I N V E S T M E N T H O L D I N G S

I N C .

(Company's Full Name)

5 th F l o o r , E N Z O B l d g .

3 9 9 S e n . G i l P u y a t

A v e . , M a k a t i C i t y

(Business Address: No. Street City/Town/Province)

Virgilio S. Jacinto

Contact Person

(02) 632-3145

Company Telephone Number

1 2

Month

3 1

Day

Compliance Report

FORM TYPE

0 7

Month

0 9

Day

Annual Meeting

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Domestic

Foreign

Total Amount of Borrowings

To be accomplished by SEC Personnel concerned

File Number

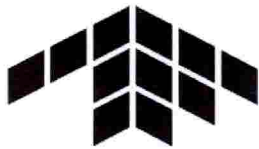
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TOP FRONTIER
INVESTMENT HOLDINGS, INC.

November 13, 2015

SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills,
Mandaluyong City, Metro Manila

Attention: **Atty. Justina F. Callangan**
Director - Corporate Governance and Finance Department

Re: Compliance with the Corporate Governance
Training Requirement

Gentlemen:

We advise that, in compliance with SEC Memorandum Circular No. 20, Series of 2013, the following directors and officers of Top Frontier Investment Holdings, Inc. have attended a corporate governance training seminar conducted by Risks, Opportunities, Assessment and Management (ROAM), Inc. on the dates indicated below:

<u>Name of Directors</u>	<u>Date Attended</u>
1. Iñigo U. Zobel	November 11, 2015
2. Ramon S. Ang	November 11, 2015
3. Aurora T. Calderon	November 11, 2015
4. Ferdinand K. Constantino	November 11, 2015
5. Consuelo M. Ynares-Santiago	November 11, 2015

<u>Name of Officers</u>	<u>Date Attended</u>
1. Virgilio S. Jacinto	November 11, 2015
2. Bella O. Navarra	November 11, 2015
3. Irene M. Cipriano	November 11, 2015

For your information and reference, attached are copies of the certificates of attendance of the said directors and officers.

Very truly yours,


Virgilio S. Jacinto
Corporate Secretary
and Compliance Officer



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Iñigo Zobel

for having completed the seminar on

CORPORATE GOVERNANCE

held on 11 November 2015 at
San Miguel Head Office Complex, Mandaluyong City

Benjamin I. Espiritu, Ph.D.
President



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Ramon S. Ang

for having completed the seminar on

CORPORATE GOVERNANCE

held on 11 November 2015 at
San Miguel Head Office Complex, Mandaluyong City

Benjamin I. Espiritu, Ph.D.
President



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Aurora T. Calderon

for having completed the seminar on

CORPORATE GOVERNANCE

held on 11 November 2015 at
San Miguel Head Office Complex, Mandaluyong City

Benjamin I. Espiritu, Ph.D.
President



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Ferdinand K. Constantino

for having completed the seminar on

CORPORATE GOVERNANCE

held on 11 November 2015 at
San Miguel Head Office Complex, Mandaluyong City

Benjamin I. Espiritu, Ph.D.
President



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Consuelo Ynares-Santiago

for having completed the seminar on

CORPORATE GOVERNANCE

held on 11 November 2015 at
San Miguel Head Office Complex, Mandaluyong City

Benjamin I. Espiritu, Ph.D.
President



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Virgilio S. Jacinto

for having completed the seminar on

CORPORATE GOVERNANCE

held on 11 November 2015 at
San Miguel Head Office Complex, Mandaluyong City

Benjamin I. Espiritu, Ph.D.
President



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

Bella O. Navarra

for having completed the seminar on

CORPORATE GOVERNANCE

held on 11 November 2015 at
San Miguel Head Office Complex, Mandaluyong City

Benjamin I. Espiritu, Ph.D.
President



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Irene M. Cipriano

for having completed the seminar on

CORPORATE GOVERNANCE

held on 11 November 2015 at
San Miguel Head Office Complex, Mandaluyong City

Benjamin I. Espiritu, Ph.D.
President