

10202017002466



SECURITIES AND EXCHANGE COMMISSION

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Company Information

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Company Name

TOP FRONTIER INVESTMENT HOLDINGS INC.

Industry Classification

Financial Holding Company Activities

Company Type

Stock Corporation

Document Information

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Department

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Remarks

changes to the annual corporate governance report

COVER SHEET

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20 October 2017

SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City, 1307

Attention:

Atty. Rachelle G. Remalante

Officer in Charge

Corporate Governance and Finance Department

Re:

Compliance with the Corporate Governance

Training Requirement

Gentlemen:

We advise that, in compliance with SEC Memorandum Circular No. 20, Series of 2013, the following directors of Top Frontier Investment Holdings, Inc. have attended the "SFC Recent Enforcement Cases Sharing and Discussion on Senior Management Responsibilities in Listed Companies" conducted by The Hong Kong Institute of Directors on the date indicated below:

	<u>Name</u>	<u>Designation</u>	Date Attended
1.	Ramon S. Ang	Director, President & CEO	October 11, 2017
2.	Ferdinand K. Constantino	Director	October 11, 2017

For your information and reference, attached are copies of their respective Certificates of Attendance.

Very truly yours,

Virgilio S. Jacinto Corporate Secretary and Compliance Officer



茲證明 This is to certify that

Ramon S. ANG

修畢以下研討會及工作坊
has attended the following seminar
Senior Management Responsibilities in
Listed Companies
SFC Recent Enforcement Cases Sharing & Discussion

on

11 October 2017



CPD 小時 Hours: 3



兹證明 This is to certify that

Ferdinand K. CONSTANTINO

修舉以下研討會及工作坊
has attended the following seminar
Senior Management Responsibilities in
Listed Companies
SFC Recent Enforcement Cases Sharing & Discussion

on

11 October 2017

香港董事學會 The Hong Kong Institute of Directors

CPD 小時 Hours: 3