



111212017004397



SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines

Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

Barcode Page

The following document has been received:

Receiving Officer/Encoder : Ramon L. Legaspi
Receiving Branch : SEC Head Office
Receipt Date and Time : November 21, 2017 03:06:20 PM
Received From : Head Office

Company Representative

Doc Source

Company Information

SEC Registration No. CS200803939
Company Name TOP FRONTIER INVESTMENT HOLDINGS INC.
Industry Classification Financial Holding Company Activities
Company Type Stock Corporation

Document Information

Document ID 111212017004397
Document Type LETTER/MISC
Document Code LTR
Period Covered November 21, 2017
No. of Days Late 0
Department CED/CFD/CRMD/MRD/NTD
Remarks

COVER SHEET

C S 2 0 0 8 0 3 9 3 9
S. E. C. Registration Number

T O P F R O N T I E R

I N V E S T M E N T H O L D I N G S

I N C .
(Company's Full Name)

5 th F l o o r , E N Z O B l d g .

3 9 9 S e n . G i l P u y a t

A v e . , M a k a t i C i t y
(Business Address: No. Street City/Town/Province)

Virgilio S. Jacinto
Contact Person

(02) 632-3145
Company Telephone Number

1 2
Month

3 1
Day

Compliance Report
FORM TYPE

0 7
Month
Annual Meeting

0 9
Day

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Total Amount of Borrowings
Domestic Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document I. D.

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes



21 November 2017

SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex,
Roxas Boulevard, Pasay City, 1307

Attention: **Atty. Rachele G. Remalante**
Officer in Charge
Corporate Governance and Finance Department

Re: Compliance with the Corporate Governance
Training Requirement

Gentlemen:

We advise that, in compliance with SEC Memorandum Circular No. 20, Series of 2013, the following director and officer of Top Frontier Investment Holdings, Inc. have attended the corporate governance training seminar conducted by Risks, Opportunities, Assessment and Management (ROAM), Inc. on the date indicated below:

	<u>Name</u>	<u>Designation</u>	<u>Date Attended</u>
1.	Minita V. Chico-Nazario	Independent Director	November 20, 2017
2.	Virgilio S. Jacinto	Corporate Secretary & Compliance Officer	November 20, 2017

For your information and reference, attached are copies of their respective Certificates of Attendance.

Very truly yours,

Virgilio S. Jacinto
Corporate Secretary
and Compliance Officer



**Risks, Opportunities, Assessment and
Management (ROAM), Inc.**

awards this

CERTIFICATE OF COMPLETION

to

Justice Minita V. Chico-Nazario

for having completed the seminar on

CORPORATE GOVERNANCE

held on 20 November 2017 at
SMC Head Office, San Miguel Ave., Mandaluyong City

Benjamin I. Espiritu, Ph.D.
President



Risks, Opportunities, Assessment and
Management (ROAM), Inc.

awards this

CERTIFICATE OF COMPLETION

to

Atty. Virgilio S. Jacinto

for having completed the seminar on

CORPORATE GOVERNANCE

held on 20 November 2017 at
SMC Head Office, San Miguel Ave., Mandaluyong City

Benjamin I. Espiritu, Ph.D.
President