

# SECURITIES AND EXCHANGE COMMISSION

## SEC FORM 17-C

### CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

1. Date of Report (Date of earliest event reported)  
Jul 9, 2020
2. SEC Identification Number  
CS200803939
3. BIR Tax Identification No.  
006-990-128
4. Exact name of issuer as specified in its charter  
TOP FRONTIER INVESTMENT HOLDINGS, INC.
5. Province, country or other jurisdiction of incorporation  
PHILIPPINES
6. Industry Classification Code(SEC Use Only)
7. Address of principal office  
5th Floor, ENZO Building, No. 399 Sen. Gil Puyat Ave., Makati City  
Postal Code  
1200
8. Issuer's telephone number, including area code  
(02) 8632-3481
9. Former name or former address, if changed since last report  
N.A.
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding
Common Shares	332,886,167
Conso. Total Liab.(as of 3.31.20 in millions Php)	1,415,727

11. Indicate the item numbers reported herein  
4 and 9

The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.



**TOP FRONTIER**  
INVESTMENT HOLDINGS, INC.

## Top Frontier Investment Holdings, Inc. TFHI

### PSE Disclosure Form 4-24 - Results of Annual or Special Stockholders' Meeting *References: SRC Rule 17 (SEC Form 17-C) and Section 4.4 of the Revised Disclosure Rules*

#### Subject of the Disclosure

Results of the 2020 Annual Stockholders' Meeting

#### Background/Description of the Disclosure

Results of the Annual Stockholders' Meeting of Top Frontier Investment Holdings, Inc. held on July 9, 2020

#### List of elected directors for the ensuing year with their corresponding shareholdings in the Issuer

Name of Person	Shareholdings in the Listed Company		Nature of Indirect Ownership
	Direct	Indirect	
Iñigo U. Zobel	199,601,517	- -	
Ramon S. Ang	75,887	- -	
Aurora T. Calderon	2,360	- -	
Ferdinand K. Constantino	14,850	- -	
Consuelo M. Ynares-Santiago	100	- -	
Minita V. Chico-Nazario	100	- -	
Teresita J. Leonardo-De Castro	100	- -	

<b>External auditor</b>	R.G. Manabat & Co.
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#### List of other material resolutions, transactions and corporate actions approved by the stockholders

Please see attached disclosure.

#### Other Relevant Information

None.

**Filed on behalf by:**

<b>Name</b>	Irene Cipriano
<b>Designation</b>	Assistant Corporate Secretary



July 09, 2020

**The Philippine Stock Exchange, Inc.**  
Disclosure Department  
6<sup>th</sup> Floor, Philippine Stock Exchange Tower  
28<sup>th</sup> Street, corner 5<sup>th</sup> Avenue  
Bonifacio Global City, Taguig City

Attention: **Janet A. Encarnacion**  
Head, Disclosure Department

Gentlemen:

Please be informed that at the Annual Stockholders' Meeting of Top Frontier Investment Holdings, Inc. (the "Corporation") held today, July 09, 2020, via remote communication and livestreamed at the Corporation's website, the following matters were approved by the stockholders of the Corporation, namely:

- i. Approval of the Minutes of the Annual Stockholders' Meeting held on July 09, 2019;
- ii. Presentation and approval of the Annual Report;
- iii. Ratification of all acts and proceedings of the Board of Directors and corporate officers of the Corporation since the Annual Stockholders' Meeting held on July 09, 2019 up to July 09, 2020, as set forth in the minutes of the meetings of the Board of Directors;
- iv. Appointment of R.G. Manabat & Co. as external auditors of the Corporation for 2020; and
- v. Election of the following as members of the Board of Directors of the Corporation:
  1. Iñigo U. Zobel
  2. Ramon S. Ang
  3. Ferdinand K. Constantino
  4. Aurora T. Calderon
  5. Consuelo M. Ynares-Santiago - Independent Director
  6. Minita V. Chico-Nazario - Independent Director
  7. Teresita J. Leonardo-De Castro - Independent Director

Very truly yours,  
Top Frontier Investment Holdings, Inc.

By:

  
**VIRGILIO S. JACINTO**  
Corporate Secretary and  
Compliance Officer

# COVER SHEET

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 S. E. C. Registration Number

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I	N	C	.																

(Company's Full Name)

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Business Address: No. Street City/Town/Province)

<b>Virgilio S. Jacinto</b>
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 Contact Person

<b>(02) 8632-3144</b>
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 Company Telephone Number

1	2	3	1
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 Month                      Day  
 Fiscal Year

**SEC Form 17- C**  
**Re: Report 2020 Annual Stockholders Meeting**  
**and Organizational Meeting of the Board**  
 FORM TYPE

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 Month                      Day  
 Annual Meeting

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 Secondary License Type, If Applicable

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 Amended Articles Number/Section

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 Total No. of Stockholders

**Total Amount of Borrowings**  

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 Domestic                     

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 Foreign

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 To be accomplished by SEC Personnel concerned

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SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17  
OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER

1. July 09, 2020  
Date of Report (Date of earliest event reported)
2. SEC Identification Number CS200803939 3. BIR Tax Identification No. 006-990-128
4. TOP FRONTIER INVESTMENT HOLDINGS, INC.  
Exact name of issuer as specified in its charter
5. Philippines Province, country or other jurisdiction of incorporation
6.  (SEC Use Only) Industry Classification Code:
7. 5<sup>th</sup> Floor, ENZO Building, No. 399 Sen. Gil Puyat Ave., Makati City Address of principal office 1200 Postal Code
8. (02) 8632-3481  
Issuer's telephone number, including area code
9. N.A.  
Former name or former address, if changed since last report
10. Securities registered pursuant to Sections 8 and 12 of the SRC  
490,196,200 Common Shares

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding (As of March 31, 2020)
Common Shares	332,886,167*

*\*Net of the 157,310,033 common shares held in Treasury*

Consolidated Total Liabilities P1,415,727 million

11. Indicate the item numbers reported herein: Items 4 and 9

Top Frontier Investment Holdings, Inc. (the “Corporation”) hereby reports that the following matters were approved during the meetings held on July 09, 2020 via remote communication:

- a. Annual Meeting of the Stockholders
  - i. Approval of the Minutes of the Annual Stockholders’ Meeting held on July 09, 2019;
  - ii. Presentation and approval of the Annual Report;
  - iii. Ratification of all acts and proceedings of the Board of Directors and corporate officers of the Corporation since the Annual Stockholders’ Meeting held on July 09, 2019 up to July 09, 2020, as set forth in the minutes of the meetings of the Board of Directors;
  - iv. Appointment of R.G. Manabat & Co. as external auditors of the Corporation for 2020; and
  - v. Election of the following as members of the Board of Directors of the Corporation:
    - 1. Iñigo U. Zobel
    - 2. Ramon S. Ang
    - 3. Ferdinand K. Constantino
    - 4. Aurora T. Calderon
    - 5. Consuelo M. Ynares-Santiago - Independent Director
    - 6. Minita V. Chico-Nazario - Independent Director
    - 7. Teresita J. Leonardo-De Castro - Independent Director
  
- b. Organizational Meeting of the Board of Directors of the Corporation
  - i. Election of Minita V. Chico-Nazario as the Lead Independent Director of the Corporation;
  - ii. Election of the following as officers of the Corporation:
    - 1. Iñigo U. Zobel - Chairman of the Board
    - 2. Ramon S. Ang - President and Chief Executive Officer
    - 3. Aurora T. Calderon - Treasurer
    - 4. Bella O. Navarra - Chief Finance Officer
    - 5. Virgilio S. Jacinto - Corporate Secretary and Compliance Officer
    - 6. Irene M. Cipriano - Assistant Corporate Secretary
    - 7. Maria Rosario B. Balanza - Investment Relations Officer and Data Protection Officer
    - 8. Ramon R. Bantigue - Internal Audit Group Head

iii. Appointment of the following as members of the Board Committees:

Audit and Risk Oversight Committee

- 1. Minita V. Chico-Nazario - Chairperson\*\*
- 2. Consuelo M. Ynares-Santiago - Member\*\*
- 3. Teresita J. Leonardo-De Castro - Member\*\*
- 4. Ferdinand K. Constantino - Member
- 4. Aurora T. Calderon - Member

Related Party Transaction Committee

- 1. Teresita J. Leonardo-De Castro - Chairperson\*\*
- 2. Consuelo M. Ynares-Santiago - Member\*\*
- 3. Minita V. Chico-Nazario - Member\*\*
- 4. Ferdinand K. Constantino - Member
- 5. Aurora T. Calderon - Member

Corporate Governance Committee

- 1. Consuelo M. Ynares-Santiago - Chairperson\*\*
- 2. Minita V. Chico-Nazario - Member\*\*
- 3. Teresita J. Leonardo-De Castro - Member\*\*
- 4. Ferdinand K. Constantino - Member
- 5. Virgilio S. Jacinto - Member (non-voting)

*\*\*Independent Director*

- iv. Designation of depository banks and appointment of authorized signatories for banking and other corporate transactions.

**SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the Issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized on July 09, 2020.

**TOP FRONTIER INVESTMENT HOLDINGS, INC.**

By:



**VIRGILIO S. JACINTO**  
Corporate Secretary  
and Compliance Officer