

SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

1. Date of Report (Date of earliest event reported)

Nov 11, 2021

2. SEC Identification Number

CS200803939

3. BIR Tax Identification No.

006-990-128

4. Exact name of issuer as specified in its charter

TOP FRONTIER INVESTMENT HOLDINGS, INC.

5. Province, country or other jurisdiction of incorporation

Philippines

6. Industry Classification Code(SEC Use Only)

7. Address of principal office

5th Floor, ENZO Building, No. 399 Sen. Gil Puyat Ave., Makati City

Postal Code

1200

8. Issuer's telephone number, including area code

(02) 8632-3481

9. Former name or former address, if changed since last report

N.A.

10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

| Title of Each Class | Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding |
|--|---|
| Common Shares | 332,886,167 |
| Conso. Total Liab. (as of 6.30.21 in millions Php) | 1,331,558 |

11. Indicate the item numbers reported herein

9

The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.



TOP FRONTIER
INVESTMENT HOLDINGS, INC.

Top Frontier Investment Holdings, Inc.

TFHI

PSE Disclosure Form 4-30 - Material Information/Transactions
References: SRC Rule 17 (SEC Form 17-C) and
Sections 4.1 and 4.4 of the Revised Disclosure Rules

Subject of the Disclosure

Matters approved by the Board of Directors of Top Frontier Investment Holdings, Inc. (the "Corporation") during the Regular Meeting of the Board of Directors of the Corporation held on November 11, 2021

Background/Description of the Disclosure

During the Regular Meeting of the Board of Directors of the Corporation held on November 11, 2021, the Board unanimously approved the following matters, among others: (a) the financial performance and financial position of the Corporation as of September 30, 2021, the details of which shall be reported to the Securities and Exchange Commission and the Philippine Stock Exchange, Inc. under SEC Form 17-Q due to be filed on November 15, 2021; and (b) the declaration of cash dividends to shareholders owning preferred shares as of November 11, 2021, amounting to Php265,683,330.00, or Php139.50 per preferred share, payable on November 12, 2021.

Other Relevant Information

Please see attached SEC Form 17-C, which is hereto filed in compliance with the relevant guidelines of the SEC which provides that all reports of publicly-listed companies be disclosed through the PSE Edge and shall be considered as having been filed with the SEC.

Filed on behalf by:

| | |
|--------------------|-------------------------------|
| Name | Irene Cipriano |
| Designation | Assistant Corporate Secretary |

COVER SHEET

C S 2 0 0 8 0 3 9 3 9

S. E. C. Registration Number

T O P F R O N T I E R

I N V E S T M E N T H O L D I N G S

I N C .

(Company's Full Name)

5 th F l o o r , E N Z O B l d g .

3 9 9 S e n . G i l P u y a t

A v e . , M a k a t i C i t y

(Business Address: No. Street City/Town/Province)

Virgilio S. Jacinto

Contact Person

(02) 8632-3143

Company Telephone Number

1 2

Month Fiscal Year

3 1

Day

SEC Form 17-C

(BOD Meeting held on 11 Nov 2021)

FORM TYPE

0 7

Month

0 9

Day

Annual Meeting

Secondary License Type, If Applicable

Dept. Requiring this Doc. Number/Section

Amended Articles

Total No. of Stockholders

Domestic Foreign

Total Amount of Borrowings

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document I. D.

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes

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CURRENT REPORT UNDER SECTION 17
OF THE SECURITIES REGULATION CODE
AND SRC RULE 17.2(c) THEREUNDER

1. November 11, 2021
Date of Report (Date of earliest event reported)
2. SEC Identification Number CS200803939 3. BIR Tax Identification No. 006-990-128
4. TOP FRONTIER INVESTMENT HOLDINGS, INC.
Exact name of issuer as specified in its charter
5. Philippines Province, country or other jurisdiction of incorporation
6. (SEC Use Only) Industry Classification Code:
7. 5th Floor, ENZO Building, No. 399 Sen. Gil Puyat Ave., Makati City Address of principal office 1200 Postal Code
8. (02) 8632-3481
Issuer's telephone number, including area code
9. N.A.
Former name or former address, if changed since last report
10. Securities registered pursuant to Sections 8 and 12 of the SRC
490,196,200 Common Shares

Title of Each Class

Number of Shares of Common Stock
Outstanding and
Amount of Debt Outstanding
(As of June 30, 2021)

Common Shares

332,886,167*

**Net of the 157,310,033 common shares held in Treasury*

Consolidated Total Liabilities

P1,331,558 million

11. Indicate the item numbers reported herein: Item 9

Top Frontier Investment Holdings, Inc. (the "Corporation") hereby reports that during the Regular Meeting of the Board of Directors of the Corporation held on November 11, 2021, the Board unanimously approved the following matters:

- a. the financial performance and financial position of the Corporation as of September 30, 2021, the details of which shall be reported to the Securities and Exchange Commission and the Philippine Stock Exchange, Inc. under SEC Form 17-Q due to be filed on November 15, 2021; and
- b. the declaration of cash dividends to shareholders owning preferred shares as of November 11, 2021, amounting to Php265,683,330.00, or Php139.50 per preferred share, payable on November 12, 2021.

SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the Issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized on November 11, 2021.

TOP FRONTIER INVESTMENT HOLDINGS, INC.

By:



VIRGILIO S. JACINTO
Corporate Secretary
and Compliance Officer